**Europäisches Patentamt** 

**European Patent Office** 

Office européen des brevets

Screal # 09/844,

(11) EP 0 938 194 A2

(1

(12)

## **EUROPEAN PATENT APPLICATION**

(43) Date of publication:25.08.1999 Bulletin 1999/34

(51) Int. Cl.<sup>6</sup>: **H04B 7/005**, H04B 7/08,

H04B 7/06

(21) Application number: 98124231.6

(22) Date of filing: 17.12.1998

(84) Designated Contracting States:

AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU

MC NL PT SE

Designated Extension States:

AL LT LV MK RO SI

(30) Priority: 23.12.1997 US 68613 P

21.04.1998 US 63765

(71) Applicant:

AT&T Wireless Services, Inc. Kirkland, Washington 98033 (US) (72) Inventors:

Lo, Titus

(74) Representative:

Redmond, Washington 98052 (US)

 Tarokh, Vahid Hackensack, New Jersey 07601 (US)

•

Modiano, Guido, Dr.-Ing. et al

Modiano, Josif, Pisanty & Staub,

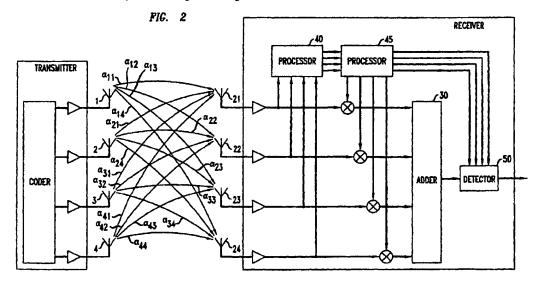
Baaderstrasse 3 80469 München (DE)

of annual three readers for the set of the set

# (54) Near-optimal low-complexity decoding of space-time codes for fixed wireless applications

(57) An improved multi-antenna receiver is realized for detecting signals transmitted by a multi-antenna transmitter by summing signals received at the plurality of receiver antennas after multiplying each by a respective constant. The summed signal is applied to a maximum likelihood detector. The respective constants,  $\lambda_j$ , where j is an index designating a particular receiver antenna, are determined by evaluating the largest

eigenvalue of the matrix  $\Lambda A(\Lambda^*)^T$ , where  $\Lambda$  is a vector containing the values  $\lambda_j$ , and A is a matrix containing elements  $\alpha_{ij}$ , which is the transfer function between the  $i^{th}$  transmitter antenna to the  $j^{th}$  receiver antenna. The  $\alpha_{ij}$  terms are determined in the receiver in conventional ways



EP 0 938 194 A

# Description

# Reference to Related Applications

This application claims the benefit of U.S. Provisional Application No. 60/068613, filed December 23, 1997.

# Background of the Invention

[0002] This invention relates to wireless systems and, more particularly, to systems having more than one antenna at the receiver and at the transmitter.

[0003] Physical constraints as well as narrow bandwidth, co-channel interference, adjacent channel interference, propagation loss and multi-path fading limit the capacity of cellular systems. These are severe impairments, which liken the wireless channel to a narrow pipe that impedes the flow of data. Nevertheless, interest in providing high speed wireless data services is rapidly increasing. Current cellular standards such as IS-136 can only provide data rates up to 9.6 kbps, using 30 kHz narrowband channels. In order to provide wideband services, such as multimedia, video conferencing, simultaneous voice and data, etc., it is desirable to have data rates in the range of 64-144 kbps.

[0004] Transmission schemes for multiple antenna systems may be part of a solution to the problem of the currently available low data rates. Such schemes were first proposed in papers by Wittneben, and by Seshadri and Winters, where the problem was addressed in the context of signal processing.

[0005] One prior art arrangement having a single transmitter antenna and multiple receiver antennas is shown in FIG. 1. Each of the receiver antennas receives the transmitted signal via a slightly different channel, where each channel i is characterized by transfer function  $\alpha_i$ . Using an approach known as "Maximum Ratio Combining", the prior art approach to detection contemplates multiplying each received signal that had been influenced by  $\alpha_i$  by the complex conjugate signal,  $\alpha_i^*$ , summed, and then processed.

[0006] In a co-pending application titled "Method and Apparatus for Data Transmission Using Space-Time Codes and Multiple Transmit Antennas", filed on May 6, 1997, bearing the Serial No. 08/847,635, and assigned to the assignee of this invention, a coding perspective was adopted to propose space-time coding using multiple transmit and receive antennas. Space-time coding integrates channel coding, modulation, and multiple transmit antennas to achieve higher coding provides significant gains over the schemes of Wittneben and Seshadri and Winters. In said co-pending application, space-time codes were designed for transmission using 2-4 transmit antennas. These codes perform extremely ciencies of up to 4 bits/sec/Hz which are about 3-4 times the efficiency of current systems. Indeed, it can be shown that plexity and constellation size.

[0007] It can also be shown that as the number of antennas is increased, the gain increases in a manner that is not unlike a multi-element antenna that is tuned to, say, a particular direction Unfortunately, however, when maximum like-lihood detection is employed at the receiver, the decoding complexity increases when the number of transmit and that substantially reduces the receiver's computation burden.

## Summary

[0008] Such an approach is achieved with a receiver arrangement where signals received at a plurality of antennas are each multiplied by a respective constant and then summed prior to being applied to a maximum likelihood detector. The respective constants,  $\lambda_j$ , where j is an index designating a particular receiver antenna, are derived from a processor that determines the largest eigenvalue of the matrix  $\Lambda A(\Lambda^*)^T$ , where  $\Lambda$  is a vector containing the values  $\lambda_j$ , and A is a matrix containing elements  $\alpha_{ij}$ , which is the transfer function between the j<sup>th</sup> transmitter antenna to the j<sup>th</sup> receiver antenna. The  $\alpha_{ij}$  terms are determined in the receiver in conventional ways.

# Brief Description of the Drawing

#### [0009]

50

55

FIG. 1 presents a block diagram of Maximal Ratio Combining detection; and FIG. 2 presents a block diagram of an arrangement including a transmitter having a plurality of antennas, and a receiver having a plurality of antennas coupled to an efficient detection structure.

#### EP 0 938 194 A2

#### **Detailed Description**

20

25

30

35

40

50

55

[0010] FIG. 1 presents a block diagram of a receiver in accord with the principles of this invention. It includes a transmitter 10 that has an n plurality of transmitting antenna 1, 2, 3, 4, and a receiver 20 that has an m plurality of receiver antennas 21, 22, 23, 24. The signals received by the receiver's antennas are multiplied in elements 25, 26, 27, and 28, and summed in adder 30. More specifically, the received signal of antenna j is multiplied by a value,  $\lambda_j$ , and summed. The collection of factors  $\lambda_j$  can be viewed as a vector  $\Lambda$ . The outputs of the receiver antennas are also applied to processor 40 which, employing conventional techniques, determines the transfer functions  $\alpha_{ij}$  for i=1, 2, 3, ..., n and j=1, 2, 3, ..., m. These transfer functions can be evaluated, for example, through the use of training sequences that are sent by the different transmitter antennas, one antenna at a time.

[0011] The evaluated  $\alpha_{ij}$  signals of processor 40 are applied to processor 45 in FIG. 1 where the multiplier signals  $\lambda_j$ , j=1, 2, 3, ..., m are computed. Processor 45 also evaluates a set of combined transfer function values  $\gamma_i$ , i=1, 2, 3, ..., n (which are described in more detail below). Signals  $\gamma_i$  of processor 45 and the output signal of adder 30 are applied to detector 50 which detects the transmitted symbols in accordance with calculations disclosed below.

[0012] It is assumed that the symbols transmitted by the antennas of transmitter 10 have been encoded in blocks of *L* time frames, and that fading is constant within a frame. A codeword comprises all of the symbols transmitted within a frame, and it corresponds, therefore, to

$$c_1^1 c_1^2 c_1^3 ... c_1^4 c_1^2 c_2^2 c_2^3 ... c_2^4 c_3^1 c_3^2 c_3^3 ... c_3^4 ... c_m^1 c_m^2 c_m^3 ... c_m^4$$

where the superscript designates the transmitter's antennas and the subscript designates the time of transmission (or position within a frame).

[0013] From the standpoint of a single antenna, e.g., antenna 1, the signal that is received at antenna 1 in response to a transmitted symbol  $c_1^1$  at time interval t is:

$$R_t = c_t^1 \left( \alpha_{11} \lambda_1 + \alpha_{12} \lambda_2 + \alpha_{13} \lambda_3 + \dots + \alpha_{1m} \lambda_m \right)$$

$$= c_t^1 \sum_{j=1}^m \lambda_j \alpha_{1j}$$

$$= c_t^1 \gamma_1$$

(when noise is ignored). If each  $\lambda_j$  value is set to  $\alpha^*_{1j}$  (where  $\alpha^*_{1j}$  is the complex conjugate of  $\alpha_{1j}$ ) then the received signal would simply be

$$R_t = c_t^{11} \sum_{i=1}^m |\alpha_{11}|^2$$

yielding a constructive addition.

[0014] Of course, the values of  $\lambda_j$  cannot be set to match  $\alpha^*_{1j}$  and concurrently to match the values of  $\alpha^*_{ij}$  where  $i \neq 1$ ; and therein lies the difficulty.

[0015] When all n of the transmitting antennas are considered, then the received signal is

$$R_{t} = \sum_{i=1}^{n} \left( c_{t}^{i} \sum_{j=1}^{m} \lambda_{j} \alpha_{ij} \right)$$
$$= \sum_{i=1}^{n} c_{t}^{i} \gamma_{i}$$

[0016] In accordance with the present disclosure, the objective is to maximize

## EP 0 938 194 A2

$$\sum_{i=1}^{n} |\gamma_i|^2$$

because by doing so, signal  $R_t$  contains as much information about  $c_t^i$ , i = 1,2,3,...n as is possible. However, it can be easily shown that if a matrix A is constructed such that

$$A = \sum_{i=1}^{n} (\Omega_{i}^{\star})^{T} \Omega_{i},$$

where  $\Omega_i = (\alpha_{i1}, \alpha_{12}, \alpha_{13}...\alpha_{im})$ , then

10

15

25

35

$$\sum_{i=1}^{n} |\gamma_i|^2 = \Lambda A(\Lambda^*)^T.$$

[0017] The receiver, thus, has to maximize  $\Lambda A(\Lambda^*)^T$ , subject to the constraint  $\|\Lambda\|^2 = 1$ . The solution to this problem is to choose  $\Lambda$  to be the eigenvector of A which corresponds to the maximum eigenvalue of A. Accordingly, processor 45 develops the matrix A from the values of  $\alpha_{ij}$ , finds the eigenvalues of A in a conventional manner, selects the maximum eigenvalue of A, and creates the vector  $\Lambda$ . Once  $\Lambda$  is known, processor 45 develops signals  $\gamma_i$  for i=1,2,3,...,n, (where

$$\gamma_i = \sum_{j=1}^m \lambda_j \alpha_{ij}),$$

and applies them to detector 50. Finally, detector 50 minimizes the metric

$$\sum_{t=1}^{L} \left| R_t - \sum_{i=1}^{n} \gamma_i c_i^i \right|^2$$

from amongst all possible codewords in a conventional manner. As can be seen, this approach reduces the complexity of decoding by almost a factor of m.

- [0018] FIG. 1 depicts separate multipliers to multiply received signals by multiplication factors  $\lambda_i$ , and it depicts separate blocks for elements 30, 40, 45, and 50. It should be understood, however, that different embodiments are also possible. For example, it is quite conventional to incorporate all of the above-mentioned elements in a single special purpose processor, or in a single stored program controlled processor (or a small number of processors). Other modifications and improvements may also be incorporated, without departing from the spirit and scope of the invention, which is defined in the following claims.
- [0019] Where technical features mentioned in any claim are followed by reference signs, those reference signs have been included for the sole purpose of increasing the intelligibility of the claims and accordingly, such reference signs do not have any limiting effect on the scope of each element identified by way of example by such reference signs.

#### Claims

50

55

A receiver comprising:

an n plurality of antennas, where n is greater than one; circuitry for obtaining n signals transmitted from m antennas of a transmitter, where m is greater than one; and processing means for

developing a sum signal that corresponds to the addition of said n signals that are each pre-multiplied by a respective factor  $\lambda_j$ , where i is an index integer specifying that factor  $\lambda_j$  multiplies the signal received from

## EP 0 938 194 A2

antenna i of said n plurality of antennas,

developing values for transfer functions  $\alpha_{ij}$ , where i is an index that references said transmitting antennas, and j is an index that references said receiving antennas,

- developing said  $\lambda_i$  factors from said transfer functions, and
- detecting symbols transmitted by said m transmitter antennas embedded in said sum signal.
- 2. The receiver of claim 1 where said factors  $\lambda_i$  are related to said transfer functions  $\alpha_{ij}$ .
- 3. The receiver of claim 1 where said factors are components of a vector  $\Lambda$  where  $\Lambda$  is an eigenvalue of  $\Lambda A(\Lambda^*)^T$ , and where A is a matrix containing said elements  $\alpha_{ij}$ .
  - 4. The receiver of claim 1 where said detecting compares said sum signal to a signal corresponding to symbols  $c^i$  possibly transmitted by transmitting antenna i of said m transmitting antennas multiplied by corresponding factors  $\gamma_i$ .
  - 5. The receiver of claim 4 where said corresponding factor  $\gamma_i$  is related to said factors  $\lambda_j$ , for j=1,2,3,...,m, and to  $\alpha_{ij}$ .
  - 6. The receiver of claim 4 where said detecting maximizes the metric

$$\sum_{t=1}^{L} \left| R_t - \sum_{i=1}^{n} \gamma_i c_t^i \right|^2,$$

where  $R_t$  is said sum signal at time interval t within a frame having L time intervals, and  $c_t^i$  is the symbol that might have been transmitted over transmitting antenna i at time interval t.

5

15

20

30

35

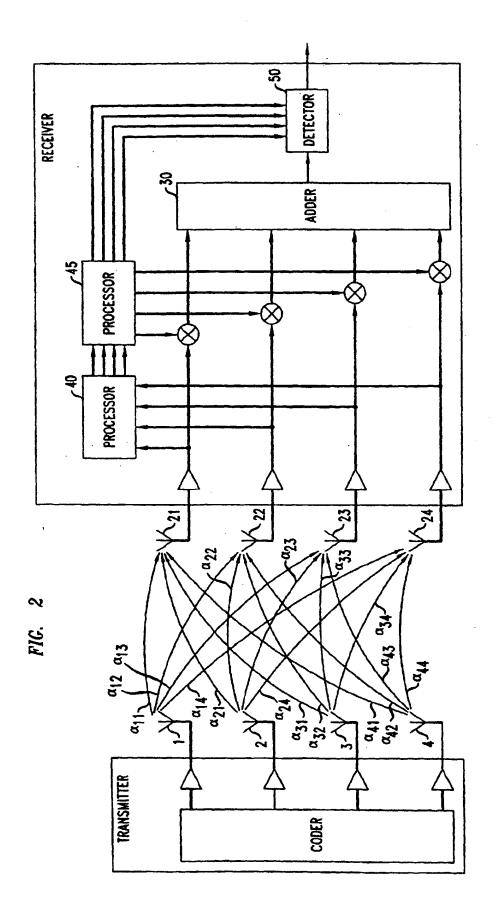
40

45

50

55

RECEIVER ADDER FIG. 1 (Prior Art) TRANSMITTER CODER



THIS PAGE BLANK (USPTC)

**Europäisches Patentamt** 

**European Patent Office** 

Office européen des brevets



EP 0 938 194 A3

(12)

# **EUROPEAN PATENT APPLICATION**

(88) Date of publication A3: 17.05.2000 Bulletin 2000/20

(51) Int. Cl.<sup>7</sup>: **H04B 7/005**, H04B 7/08, H04B 7/06, H04L 1/06

(43) Date of publication A2: 25.08.1999 Bulletin 1999/34

(21) Application number: 98124231.6

(22) Date of filing: 17.12.1998

(84) Designated Contracting States: AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE **Designated Extension States:** AL LT LV MK RO SI

(30) Priority: 23.12.1997 US 68613 P

21.04.1998 US 63765

(71) Applicant: AT&T Wireless Services, Inc. Kirkland, Washington 98033 (US) (72) Inventors:

Lo, Titus Redmond, Washington 98052 (US)

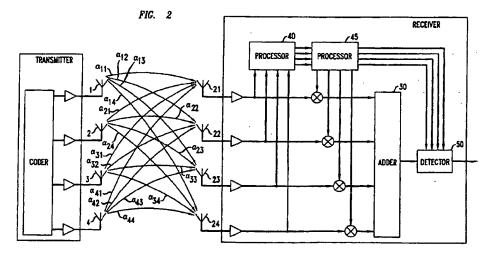
Tarokh, Vahid Hackensack, New Jersey 07601 (US)

(74) Representative: Modiano, Guido, Dr.-Ing. et al Modiano, Josif, Pisanty & Staub, Baaderstrasse 3 80469 München (DE)

(54)Near-optimal low-complexity decoding of space-time codes for fixed wireless applications

(57)An improved multi-antenna receiver is realized for detecting signals transmitted by a multi-antenna transmitter by summing signals received at the plurality of receiver antennas after multiplying each by a respective constant. The summed signal is applied to a maximum likelihood detector. The respective constants,  $\lambda_i$ , where j is an index designating a particular receiver antenna, are determined by evaluating the largest

eigenvalue of the matrix  $\Lambda A(\Lambda^*)^T$ , where  $\Lambda$  is a vector containing the values  $\lambda_i$ , and A is a matrix containing elements  $\alpha_{ii}$ , which is the transfer function between the ith transmitter antenna to the ith receiver antenna. The  $\alpha_{ii}$  terms are determined in the receiver in conventional ways.



EP 0 938 194 A3



# **EUROPEAN SEARCH REPORT**

Application Number

EP 98 12 4231

Citation of document	THE PROPERTY AND ADDRESS OF THE PARTY OF THE		
	hoses And	Relevant to claim	CLASSIFICATION OF TH APPLICATION (Int.CLS)
* page 0, line 1	/ (1997-09-24) 1 - line 13 *	1,2	H04B7/005 H04B7/08 H04B7/06 H04L1/06
* page 5. line 3	(1991-12-26)	1,2	
			TECHNICAL FIELDS SEARCHED (Int.CLG) HO4L HO4B
ie present search report has	been drawn up for all claims	-	
ice of search			
RLIN	23 March 2000	j.	Exempler
rly relevant if taken alone rly relevant if combined with anot t of the earne category ploal background	T: theory or princi E: earlier patent d after the filing d D: document afted L: document afted	ple underlying the inven ocument, but published ate in the application for other reasons	illon on, or
	* page 8, line 1 * page 11, line * page 10, line * figure 1 *  WO 91 20142 A (MC 26 December 1991 * page 5, line 3 * page 7, line 15 * figure 4 *	* page 8, line 11 - line 13 *  * page 11, line 26 - page 12, line 11 *  * page 10, line 7 - line 11 *  * figure 1 *  WO 91 20142 A (MOTOROLA INC) 26 December 1991 (1991-12-26)  * page 5, line 3 - line 17 *  * page 7, line 15 - line 18 *  * figure 4 *  * figure 4 *  * figure 4 *  * figure 5 *  * page 7, line 15 - line 18 *  * figure 6 *  * page 7 *  * page 7 *  * page 7 *  * page 7 *  * page 8 *  * page 5 *  * page 5 *  * page 6 *  * page 7 *  * page 7 *  * page 7 *  * page 8 *  * page 8 *  * page 9 *  * page 9 *  * page 10 *  * page 11 *  * page 11 *  * page 11 *  * page 12 *  * page 13 *  * page 14 *  * page 5 *  * page 7 *  * line 15 *  * page 7	** page 8, line 11 - line 13 *  ** page 11, line 26 - page 12, line 11 *  ** page 10, line 7 - line 11 *  ** figure 1 *  ** M0 91 20142 A (MOTOROLA INC) 26 December 1991 (1991-12-26)  ** page 5, line 3 - line 17 *  ** page 7, line 15 - line 18 *  ** figure 4 *  ** figure 4 *  ** figure 4 *  ** Figure 4 *  ** Figure 5 *  ** Figure 5 *  ** Figure 6 *  ** Figure 6 *  ** Figure 7 *  ** Figure 9 *

# ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 98 12 4231

This annex lists the patent family members relating to the patent documents cited in the above—mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

23-03-2000

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
GB 2311445	A	24-09-1997	US	5812542 A	22-09-1998
			CN	1166727 A	03-12-199
			FR	2746233 A	19-09-199
			JP	9261203 A	03-10-199
WO 9120142 A	A	26-12-1991	AU	638580 B	01-07-199
			AU	7956891 A	07-01-199
			DE	69113962 D	23-11-199
		ÐE	69113962 T	15-05-199	
		EP	0489880 A	17-06-199	
		HK	1000528 A	03-04-199	
		JP	2601027 B	16-04-199	
		JP	5501190 T	04-03-199	
		KR	9507975 B	21-07-199	
		US	5140615 A	18-08-199	

o the For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

THIS PAGE BLANK (USPTO